

THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT Attorney Docket No.: 52719.00008

I hereby certify that this paper (along with any paper reterred to as being attached or chelosca), to stand a specific control of the date shown below with sufficient postage as first class mail in an envelope addressed to Commissioner PECEIVED

**CERTIFICATE OF MAILING** 

Examiner:

Art Unit:

Unknown

2771

Technology Center 2100

In re Application of:

Li-Wen Chen

Serial No.: 09/483,182

Filed:

January 13, 2000

Title:

APPARATUS FOR VISUALIZING

INFORMATION IN A DATA

WAREHOUSING ENVIRONMENT

Commissioner for Patents Washington, D.C. 20231

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97(e)(1)

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. One copy of each cited reference is submitted herewith.

The information was first cited in a European search report from the European Patent Office in a counterpart international application not more than three months prior to the filing of the present supplemental information disclosure statement. The Examiner is requested to make this information of official record in the application. Please initial and return the enclosed Form PTO-1449, indicating that the references have been considered and made of record herein.

In re Chen U.S. Appln. No.: 09/483,182 Page 1 of 2

PATENT

Attorney Docket No.: 52719.00008

The information presented herewith is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. <u>05-0150</u>.

Date:

11/7/02

Squire, Sanders & Dempsey L.L.P.

600 Hansen Way

Palo Alto, CA 94304-1043

Telephone (650) 856-6500

Facsimile (650) 843-8777

Respectfully submitted,

Aaron Wininger

Attorney for Applicant

Reg. No. 45,229

In re Chen U.S. Appln. No.: 09/483,182

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box ->

ease type a plus sign (+) inside this box —> [+] Approved for use through 10/31/2002. OMB 0551-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OM3 control number.

~ ~ ~ ~ · · · ·			Appli	cation Number	09/483,1	82	)			
PRANSMITTAL FORM  (to be used or all correspondence after initial filing)			Filing	Date	January	January 13, 2000				
			First Named Inventor		Li-Wen C	Li-Wen Chen				
			Group Art Unit		2771	2771				
			Examiner Name		Unknowr	Unknown				
Total Number of Pages	in This Submission	N/A	Attorn	ey Docket Number	52719.00	0008	フ			
		ENCLO	SURES	(check all that apply)						
Fee Transmittal Fo	orm (in duplicate)		ment and Recordation Cover (for an Application)		Reque	est to Correct Filing Receipt				
Amendment / Resp	oonse	☐ Drawin	wing(s) Sheets			Appeal Communication to Board of Appeals and Interferences				
☐ With RCE		Licensi	Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		Petition	ı		Return	Return Postcard				
Affidavits/decla	aration(s)	Request for Continued Examination		☐ Status	Status Letter					
Extension of Time Request (in duplicate)		Power of Attorney				Other Enclosure(s) (please identify below):				
		Terminal Disclaimer								
		Request for Refund			'					
Supplemental Information Disclosure Statement (2 pages)		CD, Number of CD(s)								
PTO Form 1449 (2 pages)					<u> </u>	RECEIVED	1			
(Original and one copy to be returned to us with Examiner's		Remarks			NOV 1 3 2002					
initials)  Response to Missir	ng Parts/			J		MON T 9 YOUY				
Incomplete Application					Tec	hnology Center 2100				
Declaration/Oath										
	SIGNAT	TURE OF A	PPLIC.	ANT, ATTORNEY, O	R AGENT					
Aaron Wininger, Reg. No. 45,229					RECEIV	/ED				
Firm Squire, Sanders & De 600 Hansen Way			L.F.			NOV 1 82	2002			
	Palo Alto, CA 9430	04-1043					-			
Signature						GROUP	<b>3600</b>			
Date	November 7, 2002									
		CEF	RTIFICA	TE OF MAILING			Ž			
I hereby certify that this	correspondence is	being depos	sited with	the United States Post	al Service as	first class mail in an envelope				
addressed to: Assistan	nt Commissioner for	Patents, Wa	shingtor	n, D.C. 20231 on this da	ite: Nover	mber 7, 2002	_			
Typed or printed name	Aaron Wininge	er					1			
Signature			2		Date	November 7, 2002	ノ			

Burden Hour Statement: This form is estimated to take 0.2 hours of complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

## E TRANSMITTAL for FY 2003 NOV 1 2 2002

tent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

0

	Complete if Ki	nown
Application Number	09/483,182	*
Filing Date	January 13, 2000	RECENT
First Named Inventor	Li-Wen Chen	RECEIVED
Examiner Name	Unknown	NOV 1 3 2002
Group / Art Unit	2771	
Attorney Docket No.	52719.00008	Technology Center 21/1

ME	THOD OF PAYMENT (check all t	that apply)				FEE C	ALCULATION (continued)	
☐ Check ☐ (	Credit card	ther  None		DITIONAL Entity	L FEES Small E			
☑ Deposit Accor	Order unt:							
Deposit	<u> </u>		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account	05-0150	.	1051	130	2051	65	Surcharge - late filing fee or oath	
Number			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit			1053	130	1053	130	Non-English specification	
Account	Squire, Sanders & Dempsey, L.L.	.P.	1812	2,520	1812	2,520	For filing a request for reexamination	
Name The Commission	ner is authorized to: (check all i	that apply)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge fee(s)	indicated below	overpayments	1805	1,840*	1805	1,840*		
☐ Charge fee(s)	indicated below, except for the		1251	110	2251	55	Extension for reply within first month	
to the above-iden	tified deposit account.  FEE CALCULATION		1252	400	2252	200	Extension for reply within second month	
. DAGIO EII			1253	920	2253	460	Extension for reply within third month	$\vdash$
1. BASIC FIL	LING FEE Small Entity		1254	1,440	2254	720	Extension for reply within fourth	<del></del>
	Fee Fee Fee Description	1	i				month	
	Code (\$)	Fee Paid	1255	1,960	2255	980	Extension for reply within fifth month	
1001 740	2001 370 Utility filing fee		1401	320	2401	160	Notice of Appeal	
1002 330 3	2002 165 Design filing fee		1402	320	2402	160	Filing a brief in support of an appeal	
1003 510 2	2003 255 Plant filing fee		1403	280	2403	140	Request for oral hearing	
	2004 370 Reissue filing fee 2005 80 Provisional filling	i	1451	1,510	1451	1,510	Petition to institute a public use proceeding	卫
1005 100 1	.005 80 Frovisional ming	, tee	1452	110	2452	55	Petition to revive – unavoidable	
	SUBTOTAL (1)	(\$) 0	1453	1,280	2453	640	Petition to revive – unintentional	
			1501	1,280	2501	640	Utility issue fee (or reissue)	
2. EXTRA CLAIN		_	1502	460	2502	230	Design issue fee	U
		ee from Fee Paid	1503	620	2503	310	Plant issue fee	
Total Claims	-20 ** = 0 X	= 0	1460	130	1460	130	Petitions to the Commissioner	<b>S</b>
Independent			1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	<b>O</b>
Claims	-3 ** = 0 X	= 0	1806	180	1806	180	Submission of Information Disclosure Stmt	8
Multiple Dependent Large Entity	X Small Entity	= 0	8021	40	8021	40 .	Recording each patent assignment per property (times number of	
Fee Fee	Foo Foo		1809	740	2809	370	properties)	<del></del>
Code (\$)	Code (\$) Fee Descrip						Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18	2202 9 Claims in exc		1810	740	2810	370	For each additional invention to be	
1201 84 1203 280	1	t claims in excess of 3	i				examined (37 CFR § 1.129(b))	<u> </u>
	** Paissus in	pendent claim, if not paid ndependent claims over	1801	740	2801	370	Request for Continued Examination (RCE)	
1204 84	original pater	ent '	1802	900	1802	900	Request for expedited examination of a design application	
1205 18	2205 9 ** Reissue cl over original	claims in excess of 20 and I patent	J				of a design approach.	
	SUBTOTAL (2)	(\$) O	Other re	e (specif	у)			
	L		*Reduc	ed by Ba	isic Filing	, Fee Pa	aid SUBTOTAL (3) (\$) 0	
**or number prev	riously paid, if greater; For Reiss	sues, see above	l				(4/5	

SUBMITTED BY					Complete (if applicable)		
Name (Print/Type)	Aaron Wininger	Registration No. Attorney/Agent)	45,229	Telephone	650.856.6500		
Signature	6	- /		Date	November 7, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card Information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231. If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.